# Supplementary Material for "Prior-Guided Adversarial Initialization for Fast Adversarial Training"

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This supplementary material contains the following contents:

- The difference between the training processes of FAT and SAT methods on the more datasets. (see Sec. 1).
- Detailed algorithms of FGSM-BP, FGSM-EP and FGSM-MEP in Sec. 3.3 of the manuscript (see Sec. 2).
- Proof of **Proposition 1** in Sec. 3.4 of the manuscript.(see Sec. 3).
- Detailed hyper-parameter settings. (see Sec. 4).
- Experiments with a larger model as the backbone (see Sec. 5).
- More comparative experiments with using a cyclic learning rate strategy (see Sec. 6).

# 1 Difference between the Training Processes of FAT and SAT Methods

In Fig.2 of the manuscript (Sec.3.1), we compared the intermediate results of the training processes of FAT and SAT on the CIFAR-10 dataset. Here, we also reinvestigate catastrophic overftting on more datasets, *i.e.*, CIFAR-100 [6] and Tiny ImageNet [2]. We adopt ResNet18 [3] as the backbone on CIFAR-100 and PreActResNet18 [4] on Tiny ImageNet. The training setting is presented in the Sec.4.1 of the manuscript.

We observe a similar overfitting phenomenon on CIFAR-100 and Tiny ImageNet. There is also a distinct difference between the attack success rates (ASRs)

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Fig. 1. The difference between the training processes of FAT and SAT methods on the CIFAR-100 dataset. Left: the attack success rate of generated AEs. Right: the PGD-10 robust accuracy of the target model.



Fig. 2. The difference between the training processes of FAT and SAT methods on the Tiny ImageNet dataset. Left: the attack success rate of generated AEs. Right: the PGD-10 robust accuracy of the target model.

of AEs used in FAT and SAT in the late training stage. The results on CIFAR-100 are shown in Fig. 1. It can be observed that the ASRs of FGSM-RS drop sharply at the 26-th epoch, resulting in the dramatic decreases of the robust accuracy. But the ASRs of PGD-2-AT do not drop sharply during the whole training. PGD-2-AT does not suffer from catastrophic overftting. The results on Tiny ImageNet are shown in Fig. 2. It can be observed that the ASRs of FGSM-RS drop sharply at the 105-th epoch.

# 2 Detailed Algorithms of FGSM-BP, FGSM-EP and FGSM-MEP

FGSM-BP uses the adversarial perturbations from the previous batch onto clean images and then conduct FGSM based on the perturbed examples. The FGSM-MEP algorithm is shown in Algorithm 1. FGSM-EP uses the adversarial perturbations from the previous epoch onto clean images and then conduct FGSM based on the perturbed examples. The FGSM-EP algorithm is shown in Algorithm 2. And The FGSM-MEP algorithm is shown in Algorithm 3.

## 3 Proof of Proposition 1

Proof. The first part of the desired result is true by the Jensen's inequality as

$$\left(\mathbb{E}_{\hat{\boldsymbol{\delta}}_{adv}\sim\boldsymbol{\Omega}}\left[\left\|\hat{\boldsymbol{\delta}}_{adv}\right\|_{2}\right]\right)^{2} \leq \mathbb{E}_{\hat{\boldsymbol{\delta}}_{adv}\sim\boldsymbol{\Omega}}\left[\left\|\hat{\boldsymbol{\delta}}_{adv}\right\|_{2}^{2}\right].$$
(1)

In the following we focus on  $\mathbb{E}_{\hat{\delta}_{adv}\sim\Omega}\left[\|\hat{\delta}_{adv}\|_2^2\right]$ . Denote

$$\boldsymbol{\nabla} = \nabla_{\mathbf{x}} \mathcal{L}(f(\mathbf{x} + \boldsymbol{\delta}_{\mathbf{pgi}}; \mathbf{w}), \mathbf{y}), \qquad (2)$$

we have

$$\mathbb{E}_{\hat{\delta}_{adv} \sim \Omega} \left[ \| \hat{\delta}_{adv} \|_{2}^{2} \right] \\
= \mathbb{E}_{\hat{\delta}_{adv}} \left[ \| \Pi_{\Omega} [\delta_{\mathbf{pgi}} + \alpha \cdot \operatorname{sign}(\nabla)] \|_{2}^{2} \right] \\
= \sum_{i=1}^{d} \mathbb{E}_{\delta_{pgi}(i)} \left[ \Pi_{\Omega} [\delta_{pgi}(i) + \alpha \cdot \operatorname{sign}(\nabla_{i})]^{2} \right] \\
\leq d \cdot \mathbb{E}_{\delta_{pgi}(i)} \left[ \min \left\{ \frac{\epsilon^{2}}{d}, \left( \delta_{pgi}(i) + \alpha \cdot \operatorname{sign}(\nabla_{i}) \right)^{2} \right\} \right] \\
= d \cdot \mathbb{E}_{r_{i}} \left[ \mathbb{E}_{\delta_{pgi}(i)} \left[ \min \left\{ \frac{\epsilon^{2}}{d}, \left( \delta_{pgi}(i) + \alpha \cdot \operatorname{sign}(\nabla_{i}) \right)^{2} \right\} \right] \right| \operatorname{sign}(\nabla_{i}) = r_{i} \right], \tag{3}$$

where the last set follows the low of total expectation as  $r_i := \operatorname{sign}(\nabla_i)$  is also a random variable depending on  $\delta_t(i)$ .

As  $r_i$  is a binary random variable, d is the feature dimension, and  $\alpha < \epsilon,$  it holds that

$$\begin{aligned} &-\epsilon d^{-1/2} > -\epsilon + \alpha \\ &\epsilon d^{-1/2} < \epsilon + \alpha, \end{aligned}$$

and we could separate the procedure into the following two cases:

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(i)  $r_i = 1$ , the inner conditional expectation has the form:

$$\int_{-\epsilon}^{\epsilon} \min\left\{\frac{\epsilon^2}{d}, (\delta_{pgi}(i) + \alpha)^2\right\} \frac{1}{2\epsilon} d\delta_{pgi}(i)$$

$$= \frac{1}{2\epsilon} \int_{-\epsilon+\alpha}^{\epsilon+\alpha} \min\left\{\frac{\epsilon^2}{d}, x^2\right\} dx$$

$$= \frac{1}{2\epsilon} \left(\int_{\epsilon d^{-1/2}}^{\epsilon+\alpha} \frac{\epsilon^2}{d} dx + \int_{-\epsilon d^{-1/2}}^{\epsilon d^{-1/2}} x^2 dx + \int_{-\epsilon+\alpha}^{-\epsilon d^{-1/2}} \frac{\epsilon^2}{d} dx\right)$$

$$= \frac{\epsilon}{2d} \left(2\epsilon - 2\epsilon d^{-1/2}\right) + \frac{1}{3}\epsilon^2 d^{3/2}$$

$$= \frac{\epsilon^2}{2d} - \frac{\epsilon^2}{d^{3/2}} + \frac{1}{3}\epsilon^2 d^{-3/2}$$

$$\leq \frac{\epsilon^2}{d}.$$
(4)

(ii)  $r_i = -1$ , the inner conditional expectation will be:

$$\int_{-\epsilon}^{\epsilon} \min\left\{\frac{\epsilon^2}{d}, (\delta_{pgi}(i) - \alpha)^2\right\} \frac{1}{2\epsilon} d\delta_t(i)$$

$$= \frac{1}{2\epsilon} \int_{-\epsilon - \alpha}^{\epsilon - \alpha} \min\left\{\frac{\epsilon^2}{d}, x^2\right\} dx$$

$$= \frac{1}{2\epsilon} \left(\int_{\epsilon d^{-1/2}}^{\epsilon - \alpha} \frac{\epsilon^2}{d} dx + \int_{-\epsilon d^{-1/2}}^{\epsilon d^{-1/2}} x^2 dx + \int_{-\epsilon - \alpha}^{-\epsilon d^{-1/2}} \frac{\epsilon^2}{d} dx\right)$$
(5)
$$= \frac{\epsilon}{2d} \left(2\epsilon - 2\epsilon d^{-1/2}\right) + \frac{1}{3}\epsilon^2 d^{3/2}$$

$$= \frac{\epsilon^2}{2d} - \frac{\epsilon^2}{d^{3/2}} + \frac{1}{3}\epsilon^2 d^{-3/2}$$

$$\leq \frac{\epsilon^2}{d}.$$

Combining (4) and (5) together with (3), we obtain

$$\begin{split} \mathbb{E}_{\hat{\boldsymbol{\delta}}_{adv}\sim\boldsymbol{\Omega}} \big[ \| \hat{\boldsymbol{\delta}}_{adv} \|_2 \big] &\leq \sqrt{\mathbb{E}_{\hat{\boldsymbol{\delta}}_{adv}\sim\boldsymbol{\Omega}}} \big[ \| \hat{\boldsymbol{\delta}}_{adv} \|_2^2 \big] \\ &\leq \sqrt{\frac{1}{d}} \cdot \epsilon. \end{split}$$

**Remark.** If  $\Omega$  is a bounded set like  $\Omega = \{\hat{\delta}_{adv} : \|\hat{\delta}_{adv} - \delta_{pgi}\|_2^2 \le \epsilon^2\}$ , we can obtain the upper bound of the proposed method which is  $\sqrt{\frac{1}{d}} \cdot \epsilon$ . It is less than

## Algorithm 1 FGSM-BP

Re	<b>quire:</b> The epoch N, the maximal perturbation $\epsilon$ , the maximal label perturbation
	$\epsilon_{\mathbf{y}}$ , the step size $\alpha$ , the dataset $\mathcal{D}$ including the benign sample batch $\mathbf{x}_B$ and the
	label $\mathbf{y}_B$ , the dataset batch number $M_B$ , the network $f(\cdot, \mathbf{w})$ with parameters $\mathbf{w}$ ,
	the decay factor $\mu$ , the hyper-parameter $\lambda$ , the adversarial initialization set $\mathcal{D}^{\delta}$ .
1:	for $n = 1,, N$ do
2:	$\mathbf{for} \ i = 1,, M_B \ \mathbf{do}$
3:	if $i == 1$ then
4:	$oldsymbol{\delta}_{pgi} = \mathbf{U}(-\epsilon,\epsilon)$
5:	$\mathbf{g}_{c} = \mathrm{sign}\left( abla_{\mathbf{x}_{i}}\mathcal{L}(f(\mathbf{x}_{i} + oldsymbol{\delta}_{pgi}; \mathbf{w}), \mathbf{y}_{i}) ight)$
6:	$oldsymbol{\delta}_{adv} = \varPi_{[-\epsilon,\epsilon]} [oldsymbol{\delta}_{pgi} + lpha \cdot \mathbf{g}_c]$
7:	$\mathcal{D}^{oldsymbol{\delta}}=oldsymbol{\delta}_{adv}$
8:	$\mathbf{w} \leftarrow \mathbf{w} - \nabla_{\mathbf{w}} [\mathcal{L}(f(\mathbf{x}_i + \boldsymbol{\delta}_{adv}; \mathbf{w}), \mathbf{y}_i) + \lambda \cdot \  f(\mathbf{x} + \boldsymbol{\delta}_{adv}; \mathbf{w}) - f(\mathbf{x} + \boldsymbol{\delta}_{pgi}; \mathbf{w}) \ _2^2]$
9:	else
10:	$oldsymbol{\delta}_{pgi} = \mathcal{D}^{oldsymbol{\delta}}$
11:	$\mathbf{g}_{c} = \mathrm{sign}\left(  abla_{\mathbf{x}_{i}} \mathcal{L}(f(\mathbf{x}_{i} + oldsymbol{\delta}_{pgi}; \mathbf{w}), \mathbf{y}_{i})  ight)$
12:	$oldsymbol{\delta}_{adv} = \varPi_{[-\epsilon,\epsilon]}[oldsymbol{\delta}_{pgi} + lpha \cdot \mathbf{g}_c]$
13:	$\mathcal{D}^{oldsymbol{\delta}}=oldsymbol{\delta}_{adv}$
14:	$\mathbf{w} \leftarrow \mathbf{w} - \nabla_{\mathbf{w}} [\mathcal{L}(f(\mathbf{x}_i + \boldsymbol{\delta}_{adv}; \mathbf{w}), \mathbf{y}_i) + \lambda \cdot \  f(\mathbf{x} + \boldsymbol{\delta}_{adv}; \mathbf{w}) - f(\mathbf{x} + \boldsymbol{\delta}_{pgi}; \mathbf{w}) \ _2^2]$
15:	end if
16:	end for
17:	end for

the bound  $\sqrt{\frac{d}{3}} \cdot \epsilon$  of FGSM-RS provided in [1] when  $d > \sqrt{3}$ . It requires that the prior-guided adversarial perturbation  $\delta_{pgi}$  is not far from the current adversarial perturbation  $\hat{\delta}_{adv}$ . Moreover, d represents the dimension of image data whose value is much larger than 4.

## 4 Detailed Hyper-parameter Settings

In this section, we present the detailed hyper-parameter settings of the proposed FGSM-MEP. There are two hyper-parameters in the proposed FGSM-MEP: the decay factor  $\mu$  and the lambda  $\lambda$ . To evaluate the influence of the decay factor on FGSM-MEP, we perform an experiment on the CIFAR-10 database. The metric is the robust accuracy under PGD-50, C&W and AA attack. The results are shown in Fig 3. It is observed that when the decay factor  $\mu$  is set to 0.3, FGSM-MEP achieves the best performance under all attack scenarios.

To evaluate the influence of the lambda  $\lambda$ , we conduct an experiment by using FGSM-MEP with different lambda values  $\lambda$ . The metric is also the robust accuracy unde rPGD-50, C&W and AA attack. The results are shown in Fig 3. It can be observed that when the lambda  $\lambda$  is set to 10, FGSM-MEP achieves the best performance under all attack scenarios. Hence, we set the the decay factor  $\mu$  to 0.3 and the lambda  $\lambda$  to 8 for FGSM-MEP to conduct adversarial training. 6 Xiaojun Jia et al.

#### Algorithm 2 FGSM-EP

### 5 Experiments with a Larger Model as the Backbone

As for using a larger architecture, we conduct an experiment on CIFAR-10 with WideResNet34-10 [13] as the backbone. The results are shown in Table 1. It can be observed that compared with other FAT methods, the proposed FGSM-MEP can achieve the best robustness performance under all attack scenarios. In terms of training efficiency, the proposed FGSM-MEP requires a bit more calculation cost than FGSM-RS, but much less time than other FAT variants.

#### 6 Experiments with a Cyclic Learning Rate Strategy

In the manuscript, we conducted all experiments using the multi-step learning rate strategy. Here, we also conduct comparative experiments using a cyclic learning rate strategy [9] on CIFAR-10 and CIFAR-100. Following [1,5], we set the maximum learning rate of FGSM-GA [1] and FGSM-CKPT [5] to 0.3. Following [12], we set the maximum learning rate of FGSM-RS [12], Free [8], GAT [10] and NuAT [11], and the proposed method to 0.2.

The results are shown in Table 2 and Table 3. We can observe the similar phenomenons as the models trained using a multi-step learning rate strategy. Specifically, compared with other fast AT methods, the proposed FGSM-MEP can achieve the best adversarial robustness among the fast AT methods under all adversarial attack scenarios. As [1] discovered, using the cyclic learning rate strategy can improve the robustness against adversarial examples. But it also

## Algorithm 3 FGSM-MEP

0
<b>Require:</b> The epoch N, the maximal perturbation $\epsilon$ , the maximal label perturbation
$\epsilon_{\mathbf{y}}$ , the step size $\alpha$ , the dataset $\mathcal{D}$ including the benign sample $\mathbf{x}$ and the label $\mathbf{y}$ ,
the dataset size $M$ , the network $f(\cdot, \mathbf{w})$ with parameters $\mathbf{w}$ , the decay factor $\mu$ , the
hyper-parameter $\lambda$ , the adversarial initialization set $\mathcal{D}^{\delta}$ and the historical model
gradient $\mathcal{D}^m$ .
1: for $n = 1,, N$ do
2: <b>for</b> $i = 1,, M$ <b>do</b>
3: if $n == 1$ then
4: $\delta_{pgi} = \mathbf{U}(-\epsilon, \epsilon)$
5: $\mathbf{g}_c = \operatorname{sign}\left(\nabla_{\mathbf{x}_i} \mathcal{L}(f(\mathbf{x}_i + \boldsymbol{\delta}_{pgi}; \mathbf{w}), \mathbf{y}_i)\right)$
6: $\mathcal{D}_i^m = \mathbf{g}_c$
7: $\boldsymbol{\delta}_{adv} = \Pi_{[-\epsilon,\epsilon]}[\boldsymbol{\delta}_{pgi} + \alpha \cdot \mathbf{g}_c]$
8: $\mathcal{D}_i^{\delta} = \delta_{adv}$
9: $\mathbf{w} \leftarrow \mathbf{w} - \nabla_{\mathbf{w}} [\mathcal{L}(f(\mathbf{x}_i + \boldsymbol{\delta}_{adv}; \mathbf{w}), \mathbf{y}_i) + \lambda \cdot \  f(\mathbf{x} + \boldsymbol{\delta}_{adv}; \mathbf{w}) - f(\mathbf{x} + \boldsymbol{\delta}_{pgi}; \mathbf{w}) \ _2^2]$
l0: else
11: $oldsymbol{\delta}_{pgi} = \mathcal{D}_i^{oldsymbol{\delta}}$
12: $\mathbf{g}_c = \operatorname{sign}\left(\nabla_{\mathbf{x}_i} \mathcal{L}(f(\mathbf{x}_i + \boldsymbol{\delta}_{pgi}; \mathbf{w}), \mathbf{y}_i)\right)$
13: $\mathcal{D}_i^m = \mu \cdot \mathcal{D}_i^m + \mathbf{g}_c$
14: $\boldsymbol{\delta}_{adv} = \Pi_{[-\epsilon,\epsilon]}[\boldsymbol{\delta}_{pgi} + \alpha \cdot \mathbf{g}_c]$
15: $\mathcal{D}_i^{\boldsymbol{\delta}} = \Pi_{[-\epsilon,\epsilon]}[\boldsymbol{\delta}_{pgi} + \alpha \cdot \operatorname{sign}(\mathcal{D}_i^m)]$
16: $\mathbf{w} \leftarrow \mathbf{w} - \nabla_{\mathbf{w}} [\mathcal{L}(f(\mathbf{x}_i + \boldsymbol{\delta}_{adv}; \mathbf{w}), \mathbf{y}_i) + \lambda \cdot \  f(\mathbf{x} + \boldsymbol{\delta}_{adv}; \mathbf{w}) - f(\mathbf{x} + \boldsymbol{\delta}_{pgi}; \mathbf{w}) \ _2^2]$
17: end if
18: end for
19: end for

reduces the accuracy of clean images. Compared with FGSM-GA, the proposed FGSM-MEP achieves a higher robustness accuracy. For example, the FGSM-GA achieves the accuracy of about 43.06% under AA attack while the proposed FGSM-MEP achieves the accuracy of about 46.65% under AA attack. In terms of efficiency, our training process is about 3 times faster than Free-AT, 2.5 times faster than FGSM-GA, and 1.4 times faster than GAT and NuAT.

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**Fig. 3.** Selection of the hyper-parameters decay factor  $\mu$  and lambda  $\lambda$  uesd in the proposed method. Left: robust accuracy (%) of different decay factor values on the CIFAR10 database using ResNet18. Right: robust accuracy (%) of different lambda values on the CIFAR10 database using ResNet18.

Table 1. Comparisons of clean and robust accuracy (%) and training time (hour) with	n
WideResNet34-10 on the CIFAR-10 database. Number in bold indicates the best o	f
the fast AT methods.	

CIFAR-10	C ean	PGD-10	PGD-20	PGD-50	AA	Time(h)
PGD-AT [7]	85.17	56.1	55.07	54.87	51.67	31.9h
FGSM-RS [12]	74.3	42.3	41.2	40.9	38.4	5.8h
FGSM-CKPT $[5]$	91.8	44.7	42.6	42.2	40.4	8.7h
NuAT [11]	85.30	55.8	54.68	53.75	50.06	11.8h
GAT [10]	85.17	56.3	55.23	54.97	50.01	12.9h
FGSM-GA [1]	82.1	48.9	47.1	46.9	45.7	20.3h
Free-AT [8]	80.1	47.9	46.7	46.3	43.9	23.7h
FGSM-MEP(ours)	85.09	57.72	56.86	56.4	50.11	8.3h

CIFAR-10		Clean	PGD-10	PGD-20	PGD-50	AA	$\operatorname{Time}(\min)$
FGSM-RS [12]	Best Last	83.75 83.75	$\begin{array}{c} 48.05\\ 48.05\end{array}$	$46.47 \\ 46.47$	$46.11 \\ 46.11$	42.92 42.92	15
FGSM-CKPT [5]	Best Last	89.08 89.08	$40.47 \\ 40.47$	$38.2 \\ 38.2$	$37.69 \\ 37.69$	$\begin{array}{c} 35.66\\ 35.66\end{array}$	23
NuAT [11]	Best Last	$\begin{array}{c} 76.23 \\ 76.23 \end{array}$	$51.52 \\ 51.52$	$50.81 \\ 50.81$	$50.64 \\ 50.64$	$\begin{array}{c} 46.33\\ 46.33 \end{array}$	30
GAT [10]	Best Last	$\begin{array}{c} 81.91\\ 81.91 \end{array}$	$50.43 \\ 50.43$	$49.82 \\ 49.82$	$49.62 \\ 49.62$	$\begin{array}{c} 45.24 \\ 45.24 \end{array}$	33
FGSM-GA [1]	Best Last	80.83 80.83	$48.76 \\ 48.76$	47.83 47.83	$47.54 \\ 47.54$	43.06 43.06	53
Free-AT [8]	Best Last	75.22 75.22	$44.67 \\ 44.67$	$43.97 \\ 43.97$	$43.72 \\ 43.72$	40.30 40.30	58
FGSM-MEP(ours)	Best Last	80.68 80.68	$52.48 \\ 52.48$	$\begin{array}{c} 51.69 \\ 51.69 \end{array}$	$\begin{array}{c} 51.5\\51.5\end{array}$	$\begin{array}{c} 46.65\\ 46.65\end{array}$	22

**Table 2.** Comparisons of clean and robust accuracy (%) and training time (minute) with ResNet18 on the CIFAR-10 database. Number in bold indicates the best of the fast AT methods. All models are trained using a cyclic learning rate strategy.

**Table 3.** Comparisons of clean and robust accuracy (%) and training time (minute) with ResNet18 on the CIFAR-100 database. Number in bold indicates the best of the fast AT methods. All models are trained using a cyclic learning rate strategy.

CIFAR-100		Clean	PGD-10	PGD-20	PGD-50	AA	$\left \operatorname{Time}(\min)\right $
FGSM-RS [12]	Best Last	57.71 57.71	24.82 24.82	23.91 23.91	$23.64 \\ 23.64$	$20.66 \\ 20.66$	19
FGSM-CKPT [5]	Best Last	70.75 70.75	$10.85 \\ 10.85$	7.86 7.86	$6.32 \\ 6.32$	$\begin{array}{c} 2.07\\ 2.07\end{array}$	25
NuAT [11]	Best Last	$\begin{array}{c} 59.52\\ 59.52\end{array}$	$27.17 \\ 27.17$	$22.52 \\ 22.52$	$20.29 \\ 20.29$	$\begin{array}{c} 11.45\\ 11.45 \end{array}$	31
GAT [10]	Best Last	$59.88 \\ 59.88$	$21.54 \\ 21.54$	$20.91 \\ 20.91$	$20.56 \\ 20.56$	$\begin{array}{c} 17.68\\ 17.68\end{array}$	34
FGSM-GA [1]	Best Last	$\begin{array}{c} 55.44\\ 55.44\end{array}$	$27.14 \\ 27.14$	$26.43 \\ 26.43$	$26.19 \\ 26.19$	22.08 22.08	55
Free-AT [8]	Best Last	$\begin{array}{c} 47.12\\ 47.12\end{array}$	$23.05 \\ 23.05$	$22.7 \\ 22.7$	$22.66 \\ 22.66$	$\begin{array}{c} 18.90\\ 18.90\end{array}$	62
FGSM-MEP(ours)	Best Last	$56.69 \\ 56.69$	$\begin{array}{r} 29.34\\ 29.34\end{array}$	$\frac{28.74}{28.74}$	$\begin{array}{c} 28.53\\ 28.53\end{array}$	$\begin{array}{r} 23.00\\ 23.00\end{array}$	24

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