

Appendix

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1 Similarity after gradient updating(NCA-based triplet loss)

The following derivations show how to get S_{ap}^{new} and S_{an}^{new} in equation 7 and 8 with updated and unnormalized $\mathbf{f}_a^{new}, \mathbf{f}_p^{new}, \mathbf{f}_n^{new}$ in equation 4, 5 and 6.

$$\begin{aligned}
S_{ap}^{new} &= \mathbf{f}_a^{new} \top \mathbf{f}_p^{new} \\
&= (1+\beta^2) \mathbf{f}_a \top \mathbf{f}_p + \beta \mathbf{f}_a \top \mathbf{f}_a + \beta \mathbf{f}_p \top \mathbf{f}_p - \beta \mathbf{f}_n \top \mathbf{f}_p - \beta^2 \mathbf{f}_n \top \mathbf{f}_a \\
&= (1+\beta^2) S_{ap} + 2\beta - \beta S_{pn} - \beta^2 S_{an}
\end{aligned} \tag{1}$$

$$\begin{aligned}
S_{an}^{new} &= \mathbf{f}_a^{new} \top \mathbf{f}_n^{new} \\
&= (1+\beta^2) \mathbf{f}_a \top \mathbf{f}_n - \beta \mathbf{f}_a \top \mathbf{f}_a - \beta \mathbf{f}_n \top \mathbf{f}_n + \beta \mathbf{f}_p \top \mathbf{f}_n - \beta^2 \mathbf{f}_p \top \mathbf{f}_a \\
&= (1+\beta^2) S_{ap} - 2\beta + \beta S_{pn} - \beta^2 S_{ap}
\end{aligned} \tag{2}$$

For the calculation of S_{ap}^{new} , we construct two hyper-planes: P_{ap} spanned by \mathbf{f}_a and \mathbf{f}_p , and P_{an} spanned by \mathbf{f}_a and \mathbf{f}_n . On P_{ap} , \mathbf{f}_p can be decomposed into two components: $\mathbf{f}_p^{a\parallel}$ (the direction along \mathbf{f}_a) and $\mathbf{f}_p^{a\perp}$ (the direction vertical to \mathbf{f}_a). On P_{an} , \mathbf{f}_n can be decomposed into two components: $\mathbf{f}_n^{a\parallel}$ (the direction along \mathbf{f}_a) and $\mathbf{f}_n^{a\perp}$ (the direction vertical to \mathbf{f}_a). Then the S_{pn} should be:

$$\begin{aligned}
S_{pn} &= \mathbf{f}_p \top \mathbf{f}_n \\
&= (\mathbf{f}_p^{a\parallel} + \mathbf{f}_p^{a\perp}) \top (\mathbf{f}_n^{a\parallel} + \mathbf{f}_n^{a\perp}) \\
&= S_{ap} S_{an} + \gamma \sqrt{1-S_{ap}^2} \sqrt{1-S_{an}^2}
\end{aligned} \tag{3}$$

where $\gamma = \frac{\mathbf{f}_p^{a\perp} \top \mathbf{f}_n^{a\perp}}{\|\mathbf{f}_p^{a\perp}\| \|\mathbf{f}_n^{a\perp}\|}$, which represents the projection factor between P_{ap} and P_{an} . When $\mathbf{f}_a, \mathbf{f}_n,$ and \mathbf{f}_p are close enough so that locally the hypersphere is a plane, then γ is the dot-product of normalized vector from \mathbf{f}_a to \mathbf{f}_p and \mathbf{f}_a to \mathbf{f}_n . If $\mathbf{f}_p, \mathbf{f}_a, \mathbf{f}_n$ are co-planer then $\gamma=1$, and if moving from \mathbf{f}_a to \mathbf{f}_p is orthogonal to the direction from \mathbf{f}_a to \mathbf{f}_n , then $\gamma=0$.

Dataset	CUB				CAR			
Method	R@1	R@2	R@4	R@8	R@1	R@2	R@4	R@8
Triplet-Semihard ⁶⁴	42.6	55.03	66.4	77.2	51.5	63.8	73.5	82.4
Lifted ⁶⁴	43.6	56.6	68.6	79.6	53.0	65.7	76.0	84.3
Clustering ⁶⁴	49.8	61.4	71.8	81.9	58.1	70.6	80.3	87.8
SmartMining ⁶⁴	49.8	62.3	74.1	83.3	64.7	76.2	84.2	90.2
ProxyNCA ⁶⁴	49.2	61.9	67.9	72.4	73.2	82.4	86.4	88.7
N-pair ⁶⁴	51.9	64.3	74.9	83.2	71.1	79.7	86.5	91.6
SHN ⁶⁴	56.2	67.0	78.0	86.1	68.2	77.8	85.5	91.0
SCT ⁶⁴	57.6	69.8	79.3	87.2	73.2	82.4	88.4	93.0

Table 1. Retrieval Performance on the CUB and CAR datasets comparing to the best reported results with embedding dimension 64 trained on ResNet50.

2 Norm of updated features(NCA-based triplet loss)

The following derivation shows how to derive $\|\mathbf{f}_a^{new}\|$, $\|\mathbf{f}_p^{new}\|$ and $\|\mathbf{f}_n^{new}\|$ in equation 9 and 10. On P_{ap} , \mathbf{g}_p can be decomposed into the direction along \mathbf{f}_p and the direction vertical to \mathbf{f}_p . On P_{an} , \mathbf{g}_n can be decomposed into the direction along \mathbf{f}_n and the direction vertical to \mathbf{f}_n . Then,

$$\|\mathbf{f}_p^{new}\|^2 = (1 + \beta S_{ap})^2 + \beta^2(1 - S_{ap}^2) \quad (4)$$

$$\|\mathbf{f}_n^{new}\|^2 = (1 - \beta S_{an})^2 + \beta^2(1 - S_{an}^2) \quad (5)$$

On P_{ap} , \mathbf{g}_a can be decomposed into 3 components: component in the plane and along \mathbf{f}_a , component in the plane and vertical \mathbf{f}_a , and component vertical to P_{ap} . Then,

$$\begin{aligned} \|\mathbf{f}_a^{new}\|^2 &= (1 + \beta S_{ap} - \beta S_{an})^2 \\ &\quad + (\beta \sqrt{1 - S_{ap}^2} - \gamma \beta \sqrt{1 - S_{an}^2})^2 \\ &\quad + (\beta \sqrt{1 - \gamma^2} \sqrt{1 - S_{an}^2})^2 \end{aligned} \quad (6)$$

3 Gradient updates(margin-based triplet loss)

The main paper uses NCA-based triplet loss. Another margin-based triplet-loss function is derived to guarantee a specific margin. This can be expressed in terms of $\mathbf{f}_a, \mathbf{f}_p, \mathbf{f}_n$ as:

$$L = \max(\|\mathbf{f}_a - \mathbf{f}_p\|^2 - \|\mathbf{f}_a - \mathbf{f}_n\|^2 + \alpha, 0) = \max(D, 0) \quad (7)$$

Dataset	SOP			In-shop		
	R@1	R@10	R@100	R@1	R@10	R@20
Method						
BIER [4] ⁵¹²	72.7	86.5	94.0	76.9	92.8	95.2
ABE [2] ⁵¹²	76.3	88.4	94.8	87.3	96.7	97.9
FastAP [1] ⁵¹²	76.4	89.0	95.1	90.9	97.7	98.5
MS [6] ⁵¹²	78.2	90.5	96.0	89.7	97.9	98.5
EasyPositive [7] ⁵¹²	78.3	90.7	96.3	87.8	95.7	96.8
SHN ⁵¹²	80.8	92.0	96.9	90.1	97.3	98.2
SCT ⁵¹²	81.6	92.3	96.7	90.0	97.3	98.1

Table 2. Retrieval Performance on the SOP and In-shop datasets comparing to the best reported results with embedding dimension 512 trained on ResNet50

$$\mathbf{g}_p = \frac{\partial L}{\partial \mathbf{f}_p} = \begin{cases} -\beta(\mathbf{f}_a - \mathbf{f}_p) & \text{if } D > 0 \\ 0 & \text{otherwise} \end{cases} \quad (8)$$

$$\mathbf{g}_n = \frac{\partial L}{\partial \mathbf{f}_n} = \begin{cases} \beta(\mathbf{f}_a - \mathbf{f}_n) & \text{if } D > 0 \\ 0 & \text{otherwise} \end{cases} \quad (9)$$

$$\mathbf{g}_a = \frac{\partial L}{\partial \mathbf{f}_a} = \begin{cases} \beta(\mathbf{f}_n - \mathbf{f}_p) & \text{if } D > 0 \\ 0 & \text{otherwise} \end{cases} \quad (10)$$

where $D = (\|\mathbf{f}_a - \mathbf{f}_p\|^2 - \|\mathbf{f}_a - \mathbf{f}_n\|^2 + \alpha)$ and $\beta = 2$. For simplicity, in the following discussion, we set $D > 0$ for margin-based triplet loss. Then we can get the \mathbf{f}_a^{new} , \mathbf{f}_p^{new} and \mathbf{f}_n^{new} and their norm:

$$\mathbf{f}_p^{new} = \mathbf{f}_p + \beta(\mathbf{f}_a - \mathbf{f}_p) = (1 - \beta)\mathbf{f}_p + \beta\mathbf{f}_a \quad (11)$$

$$\mathbf{f}_n^{new} = \mathbf{f}_n - \beta(\mathbf{f}_a - \mathbf{f}_n) = (1 + \beta)\mathbf{f}_n - \beta\mathbf{f}_a \quad (12)$$

$$\mathbf{f}_a^{new} = \mathbf{f}_a - \beta\mathbf{f}_n + \beta\mathbf{f}_p \quad (13)$$

$$\|\mathbf{f}_p^{new}\|^2 = (1 - \beta + \beta S_{ap})^2 + \beta^2(1 - S_{ap}^2) \quad (14)$$

$$\|\mathbf{f}_n^{new}\|^2 = (1 + \beta - \beta S_{an})^2 + \beta^2(1 - S_{an}^2) \quad (15)$$

$$\begin{aligned} \|\mathbf{f}_a^{new}\|^2 &= (1 + \beta S_{ap} - \beta S_{an})^2 \\ &\quad + (\beta\sqrt{1 - S_{ap}^2} - \gamma\beta\sqrt{1 - S_{an}^2})^2 \\ &\quad + (\beta\sqrt{1 - \gamma^2}\sqrt{1 - S_{an}^2})^2 \end{aligned} \quad (16)$$

The updated similarity S_{ap}^{new} and S_{an}^{new} will be:

$$S_{ap}^{new} = (1 - \beta + \beta^2)S_{ap} + 2\beta - \beta^2 - \beta(1 - \beta)S_{pn} - \beta^2 S_{an} \quad (17)$$

$$S_{an}^{new} = (1 + \beta + \beta^2)S_{an} - 2\beta - \beta^2 + \beta(1 + \beta)S_{pn} - \beta^2 S_{ap} \quad (18)$$

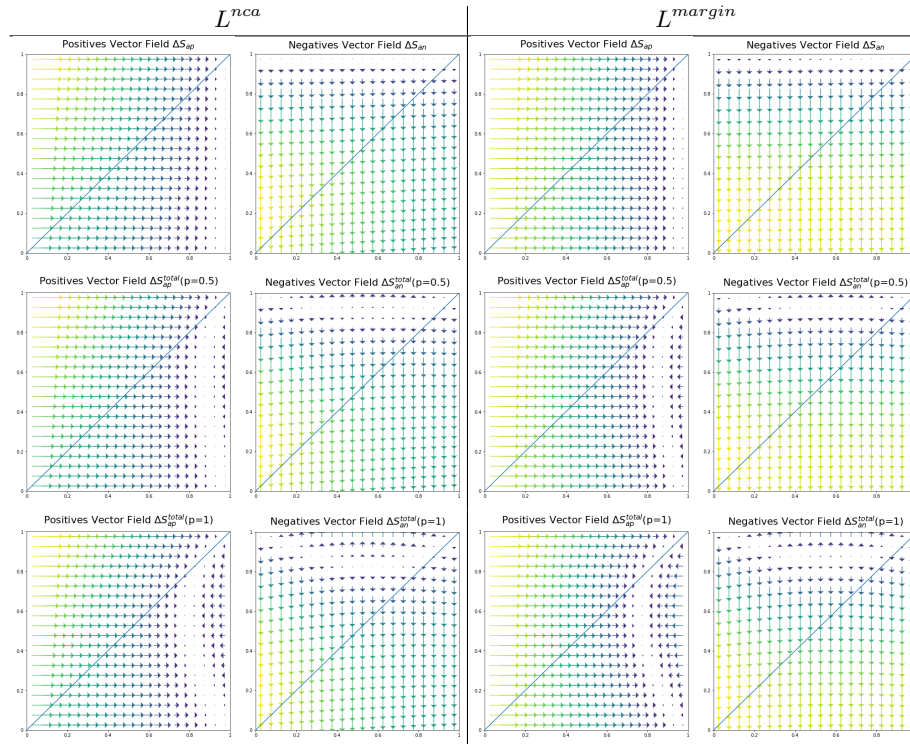


Fig. 1. Numerical simulation for ΔS_{ap} , ΔS_{an} , ΔS_{ap}^{total} and ΔS_{an}^{total} change of L^{NCA} and L^{margin} with $p=0.5, 1.0$.

Comparing to the S_{ap}^{new} and S_{an}^{new} in equation 7 and 8 of main paper, margin-based triplet loss behavior is similar to the NCA-based triplet loss. And we simulate the ΔS_{ap} and ΔS_{an} with the margin-based triplet loss in figure 1. These plots show that the behavior of problematic regions are qualitatively similar for both methods with different values of entanglement strength p .

4 More Results on other dataset

We also compare our results with state-of-the-art embedding approaches such as BIER [4], ABE [2], FaseAP [1] Multi-Similarity [6] and Easy Positive [7] on SOP [5] and In-shop [3] dataset. Tables 2 shows the SC-triplet loss outperforms the best previously reported results on the SOP dataset.

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